



PATENT

#14D on  
6-19-03

-1-

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

JOSE GABRIEL MENCHERO

Application No. 09/613,855

Filed: July 11, 2000

For: **METHOD AND SYSTEM FOR MULTI-  
PERIOD PERFORMANCE  
ATTRIBUTION**

Group Art Unit: 3628

Examiner: Nga B. Nguyen

**RESPONSE TO  
OFFICE ACTION**400 Montgomery Street, Suite 1110  
San Francisco, CA 94104  
(415) 433-2250Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail in an envelope addressed to: Mail Stop Non-  
Fee Amendment, Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450, on June 13, 2003.

GIRARD &amp; EQUITZ LLP

Date: 06/13/03

By: *Marsha A. Townsend*  
Marsha A. Townsend

Sir:

This is in response to the Office Action mailed on April 11, 2003, in the  
referenced application. Please amend the application as follows and consider the  
following remarks.

**IN THE CLAIMS:**

Amend claims 5, 6, 7, and 8 to read as follows [attached is an appendix  
including a marked up version of the amended claims showing the differences  
between the claims as originally filed or previously amended, and the claims as  
hereby amended]:

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JUN 18 2003

**GROUP 3600**

5. (Amended) A computer system, comprising:  
a processor programmed to perform an arithmetic performance attribution  
computation to determine portfolio performance, relative to a benchmark, over multiple  
time periods  $t$ , where  $t$  varies from 1 to  $T$ , by determining coefficients  $(A + \alpha_t)$ , where  $A$   
is a coefficient, the values  $\alpha_t$  are defined as



3628

GIRARD & EQUITZ LLP  
400 Montgomery Street, Suite 1110  
San Francisco, CA 94104  
(415) 433-2250

In re Patent Application of: JOSE GABRIEL MENCHERO

Attorney's Docket No. VTEK-100

Application No. 09/613,855

Group Art Unit: 3628

Filed July 11, 2000

Examiner: NGA B. NGUYEN

For: METHOD AND SYSTEM FOR MULTI-PERIOD PERFORMANCE ATTRIBUTION

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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GROUP 3600

Sir:

Transmitted herewith is a *Response to Office Action* in the above-identified application.

The fee has been calculated as shown below.

	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate	Additional Fee
Total	4	20	0	\$18	\$0
Independent	4	8	0	\$84	\$0
<input type="checkbox"/> First Presentation of Multiple Dependent Claims				\$280	\$0
Total					\$0
<input type="checkbox"/> Small Entity 50 percent Filing Fee Reduction (if applicable)					\$0

- ☒ No additional fee is required.
- ☐ A check in the amount of \$ is attached.
- ☒ Please charge any additional fees, including any fees necessary for extensions of time, or credit overpayment to Deposit Account No. 50-1697. **A duplicate copy of this sheet is enclosed.**
- ☐ Petition for extension of time. The undersigned attorney of record hereby petitions for an extension of time pursuant to 37 C.F.R. § 1.136(a), as may be required, to file this response.

GIRARD & EQUITZ LLP

June 13, 2003  
Date

By: Alfred A. Equitz  
Alfred A. Equitz  
Registration Number 30,922  
Attorney(s) or Agent(s) of Record

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 13, 2003.

Dated: JUNE 13, 2003

By: Marsha A. Townsend  
Marsha A. Townsend